DT03 Rec'd PCT/PTO _1 5 FEB 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER CSHL-P01-011

	DESIGNATED/ELECTED OFFICE (DO/EO/US) ONCERNING A SUBMISSION UNDER 35 U.S.C. 371										
INTERNATIONAL APPLICATION NO.											
US2003/030901	27 September 2002										
TITLE OF INVENTION CELL-BASED RNA INTERFERENCE AND RELATED METHODS AND COMPOSITIONS											
APPLICANT(S) FOR DO/EO/US Han	PLICANT(S) FOR DO/EO/US Hannon et al.										
Applicant herewith submits to the United Sta	icant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. x This is a FIRST submission of it	. x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
	3. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4. x The US has been elected (Articl	The US has been elected (Article 31).										
5. x A copy of the International Appli	x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))										
a. is attached hereto (required	a. is attached hereto (required only if not communicated by the International Bureau).										
b. x has been communicated by	b. x has been communicated by the International Bureau.										
c. is not required, as the applic	c. is not required, as the application was filed in the United States Receiving Office (RO/US).										
6. An English language translation	. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).										
a. is attached hereto.	a. is attached hereto.										
b. has been previously submitt	ed under 35 U.S.C. 154(d)(4).										
7. x Amendments to the claims of th	e International Application under PCT A	Article 19 (35 U.S.C. 371 (c)(3))									
a. x are attached hereto (require	a. x are attached hereto (required only if not communicated by the International Bureau).										
b. have been communicated by	b. have been communicated by the International Bureau.										
c. have not been made; however	c. have not been made; however, the time limit for making such amendments has NOT expired.										
d. have not been made and wil	d. have not been made and will not be made.										
8. An English language translation	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).										
	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).										
	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).										
Items 11 to 20 below concern docum	ent(s) or information included:										
11. An Information Disclosure State	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.										
12. An assignment document for reco	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.										
13. x A preliminary amendment.	x A preliminary amendment.										
14. x An Application Data Sheet unde	x An Application Data Sheet under 37 CFR 1.76.										
15. A substitute specification.	A substitute specification.										
16. A power of attorney and/or chan	A power of attorney and/or change of address letter.										
17. A computer-readable form of the	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.										
18. X A second copy of the published	A second copy of the published International Application under 35 U.S.C. 154(d)(4).										
19. A second copy of the English la	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).										
20. x Other items or information: Ret	x Other items or information: Return Receipt Postcard										

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U.S. APPHICATION	NO. (if known, see 37 CF	See 37 CFB 1.5 INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER					
US2003/030901				CSHL-P01-011					
The following fees have been submitted						C/	ALCULATIONS	PTO USE ONLY	
21. x Basic national fee\$300					\$	300.00			
22. x Examination fee									
If International p	reliminary examinati								
provisions of	PCT Article 33(1)-(4)			\$100 \$200	s	200.00		
	All other situations \$200								
			- the isternational and	diantian to	tha	l			
	Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100								
	International Search Report prepared and provided to the Office\$400						100.00		
All other situatio	All other situations						600.00	-	
Additiona			gs filed in paper over 1	00 sheets	(excluding	S	000.00		
sequence	e listing or computer	program listi	ng filed in an electronic						
	each additional 50 st		of each additional 50 or	r fraction	<u> </u>	{			
Total Sheets	Extra sheets		(round up to a whole r		RATE				
92 - 100 =	/50 =				x \$250.00				
			declaration later tha	n 30 mont	hs	s			
CLAIMS	claimed priority date NUMBER		192 (e)). NUMBER EXTRA	_	RATE				
Total claims		20 =	37	x	50.00	s	1,850.00	I	
Independent cla		-3 =	11	x	200.00	\$	2.200.00		
				+		\$			
MULTIPLE DEPENDENT CLAIM(s) (if applicable) + TOTAL OF ABOVE CALCULATIONS =						\$	4,650.00		
X Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.						\$			
SUBTOTAL =					\$	2,325.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months						·		· · · · · · · · · · · · · · · · · · ·	
from the earliest claimed priority date (37 CFR 1.492 (f)).						\$			
TOTAL NATIONAL FEE =						\$ 2,325.00			
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property							s		
,				• • •	LOSED =	\$ 2,325.00			
						Amount to be			
						refun	unt to be		
						charg		\$	
a. A che	eck in the amount o	f \$		to cover th	ne above fees	is enc	losed.		
b. X Please charge my Deposit Account No. 18-1945 in the amount of \$ 2,325.00 to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any									
overpayment to Deposit Account No. <u>18-1945</u> . A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card									
information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been net, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Matthew P. Vincent									
ROPES & GRAY LLP Måtti							Vincent		
One International Place Boston, Massachusetts 02110-2624									
(617) 951-77		0-2624		'	U	36.70	na		
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Application No. (if known): Not Yet Assigned

Attorney Docket No.: CSHL-P01-011

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV543597160US in an envelope addressed to:

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15 February 2005 Date

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Registration Number, if applicable

Transmittal Letter to the United States Designated-Elected Office (2

pages)

Application Data Sheet (5 pages)
Preliminary Amendment (11 pages)

Second copy of published International Application (93 pages)

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